

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	5220324
<b>Application Number:</b>	10810012
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	7758
<b>Title of Invention:</b>	Tracking account structure to support different leverage levels within an investment fund
<b>First Named Inventor/Applicant Name:</b>	James C. Houghton
<b>Customer Number:</b>	26285
<b>Filer:</b>	Mark G. Knedeisen/Mona Cornelia
<b>Filer Authorized By:</b>	Mark G. Knedeisen
<b>Attorney Docket Number:</b>	040150
<b>Receipt Date:</b>	27-APR-2009
<b>Filing Date:</b>	26-MAR-2004
<b>Time Stamp:</b>	11:01:52
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$1110
RAM confirmation Number	8572
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**File Listing:**

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part/.zip	Pages (if appl.)
1	Miscellaneous Incoming Letter	Amendment_Transmittal.pdf	35668 e7dfe5a1ba99c798d8e5f256981a102244fb46	no	4

**Warnings:****Information:**

2		Response.pdf	47175 B730944cd13c3c985136298b91310fbefb #67d	yes	12
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**Multipart Description/PDF files in .zip description**

Document Description	Start	End
Amendment/Req. Reconsideration-After Non-Final Reject	1	1
Claims	2	7
Applicant Arguments/Remarks Made in an Amendment	8	12

**Warnings:****Information:**

3	Fee Worksheet (PTO-875)	fee-info.pdf	29831 340098036365853b08864f6a39144bde99c3 12757	no	2
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**Warnings:****Information:**

Total Files Size (in bytes):	112674
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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.